eazyScripts Guide to Drummond Partial 1311 DEA Audit Test Cases

Contents

Introduction

This document will guide you through the Drummond DEA 1311 Partial audit test cases in detail in reference to the Drummond document, *Drummond Integrated Module Testing Overview - DEA 1311 Audit.pdf*.

Once you have reviewed this document, you should be able to perform the test cases and understand the expected processes and what are the expected results to pass.

Requirements

A re-audit is required by the DEA every two years. If you change your software in areas that affect the DEA criteria before your two-year due date, please contact us and we will check with Drummond and they will determine if additional review is required to maintain compliance.

User Creation and Access Controls

Expected Process:
- Demonstrate how to create a provider that does NOT have controlled substance permissions.
- Later, you will be asked demonstrate how to give controlled substance permissions to this same provider by going through the process by:
  - Adding a DEA number
  - Adding an active and expiration date (a DEA certificate is valid for 2 years; example: 4/1/2020 – 4/1/2022)
  - Adding the controlled substances permissions checkbox
  - This provider's permission request must be approved by another provider that already has controlled substance permissions.

Expected Result:
- Provider should show they do not have permissions upon creation
- Once controlled substance permissions is given, provider should now have the appropriate permissions, and this will appear in the reports.

Prescription Creation

Expected Process:
- Write a controlled substance prescription with the provider that does NOT have the controlled substance permissions.
- Write a controlled substance prescription with a provider who have controlled substance permissions.

Expected Result:
- The provider should only be able to print the prescription, they will not be able to send it electronically to the pharmacy.
- The provider should be able to complete the submission of the controlled substance prescription and complete the 2-factor authentication process to send electronically to the pharmacy.

Prescription Signing

Expected Process:
- Write controlled substance prescription with a provider that does have controlled substance permissions.

Expected Result:
- When completing the prescription process, the provider will need to click "Sign & Submit" and complete the 2-factor authentication process.

Reports and Audit Trail

Expected Process:

Drummond expects that the correct information is being captured in the reporting. You will need to be logged in as the provider, and use the eazyScripts portal to view the reports, if you do not support the reports in your application.

The following reports are being checked:

- Prescription Reports
- Security Reports
- Audit Trail

Prescription Report:

Expected Result:

You should see the controlled substance prescriptions that were written by the provider in the prescription report. You may click the "Controlled Substance Only" checkbox to filter those prescriptions specifically.

Prescription Reports

☑ Controlled Substance Only

Type your search query

Testcase, Retired
Date : 03/31/2021
Time : 11:52 am
Status : Active

Cotempla xr-odt 25.9 mg tablet [30 tablet/pkg]
Quantity: 15
Refills: 0
Written Date: 03/31/2021

Security Report:

Expected Result:
You should see that the provider that requested for controlled substance permissions was approved.

You will also be asked to show the Security Report log when the provider enters an incorrect password, which then should show a failed authentication attempt.

Attempt to log in with wrong password and it should be captured as a failed attempt in the security report.

Expected Result:
The report should show that there was a failed authentication attempt.

Type your search query

Authentication Attempt
Date : 03/31/2021
Time : 12:01 pm

Origin:
IP Address: 127.0.0.1

Request: { "RequestJSON": { "PathBase": "", "Path": "", "QueryString": { "Value": null, "HasValue": false }, "ContentLength": null, "Body": { "InMemory": true, "TempFileName": null, "CanRead": true, "CanSeek": true, "CanWrite": false, "CanTimeout": false }, "Method": null, "Scheme": "http", "IsHttps": false, "Host": { "Value": null, "HasValue": false, "Host": null, "Port": null }, "Query": [], "Protocol": "", "Headers": {}, "Cookies": [], "ContentType": null, "HasFormContentType": false }, "Body": { "email": "drgelbart@foresightmentalhealth.com", "platformType": "web", "subdomain": "demo-geneticforesight", "legacy": true } }

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Date : 03/31/2021
Time : 12:00 pm

Origin: https://demo-geneticforesight.eazyscripts.com
IP Address: 127.0.0.1

Audit Trail Report:

Expected Result:
The audit trail captures all the activity that entails prescribing the controlled substances. As seen below, creation, marked ready to sign, signed and submitted are all captured.

transmitted through web
Result: Successful
By: Gelbart, Jerry
TimeStamp: 03/31/2021 11:52 am

Event Type: Prescription Submitted
Details: 'COTEMPLA XR-ODT 25.9 MG TABLET' Prescription for 'TESTCASE, RETIRED' with prescriber 'Gelbart, Jerry' has been
submitted through web
Result: Successful
By: Gelbart, Jerry
TimeStamp: 03/31/2021 11:52 am

Event Type: Prescription Signed
Details: 'COTEMPLA XR-ODT 25.9 MG TABLET' Prescription for 'TESTCASE, RETIRED' with prescriber 'Gelbart, Jerry' has been signed
with Two Factor Authentication through web
Result: Successful
By: Gelbart, Jerry
TimeStamp: 03/31/2021 11:52 am

Event Type: Prescription Marked Ready To Sign
Details: 'COTEMPLA XR-ODT 25.9 MG TABLET' Prescription for 'TESTCASE, RETIRED' with prescriber 'Gelbart, Jerry' Marked 'Ready
To Sign' through web
Result: Successful
By: Gelbart, Jerry
TimeStamp: 03/31/2021 11:52 am

Event Type: Prescription Created
Details: 'COTEMPLA XR-ODT 25.9 MG TABLET' Prescription for 'TESTCASE, RETIRED' with prescriber 'Gelbart, Jerry' through web
Result: Successful
By: Gelbart, Jerry
TimeStamp: 03/31/2021 11:52 am